

3624
en

AMENDMENT TRANSMITTAL LETTER (Small Entity) Applicant(s): Peng Chen et al. AUG 12 2005				Docket No. P6340	
Application No. 10/091,046	Filing Date March 5, 2002	Examiner Subramanian, Narayanswamy	Customer No. 28465	Group Art Unit 3624	Confirmation No. 6492

Invention: **AUTOMATICALLY ALLOCATING AND REBALANCING DISCRETIONARY PORTFOLIOS**

COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

☒ Applicant claims small entity status. See 37 CFR 1.27

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	50 -	88 =	0	x \$25.00	\$0.00
INDEP. CLAIMS	9 -	9 =	0	x \$100.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

☒ No additional fee is required for amendment.
☐ Please charge Deposit Account No. _____ in the amount of _____
☐ A check in the amount of _____ to cover the filing fee is enclosed.
☒ The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **18-2284**
 ☒ Any additional filing fees required under 37 C.F.R. 1.16.
 ☒ Any patent application processing fees under 37 CFR 1.17.
☐ Payment by credit card. Form PTO-2038 is attached.
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

R. Blake Johnston
Reg. No. 41,097

DLA PIPER RUDNICK GRAY CARY US LLP
P.O. Box 64807
Chicago, IL 60664-0807
(312) 368-4000

Dated: **August 10, 2005**

I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on **August 10, 2005**

(Date)

Signature of Person Mailing Correspondence
Stephanie Warner-Wallace

Typed or Printed Name of Person Mailing Correspondence

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit	: 3624	Customer No.: 035811
Examiner	: Subramanian, Narayanswamy	
Serial No.	: 10/091,046	
Filed	: March 5, 2002	
Inventor	: Peng Chen et al.	Docket No.: P6340
Title	: AUTOMATICALLY ALLOCATING AND	
	: REBALANCING DISCRETIONARY	Confirmation No.: 6492
	: PORTFOLIOS	

AMENDMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Official Action mailed May 12, 2005, Applicants respond as follows: